



IFV 3628

Attorney Docket No. 17066/00103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re
U.S. application: John NAFEH et al.
For: METHODS AND APPARATUS FOR FORMULATION, INITIAL
PUBLIC OR PRIVATE OFFERING, AND SECONDARY MARKET
TRADING OF RISK MANAGEMENT CONTRACTS
U.S. Serial No.: 09/923,035
Filed: August 6, 2001
Confirmation No.: 8962
Group Art Unit: 3628
Examiner: Timothy M. Harbeck

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
November 2, 2005	_____
Date of Deposit	_____
Thomas N. Tarnay	_____
Name of Applicant, Assignee, or Registered Representative	_____
Signature	_____
November 2, 2005	_____
Date of Signature	_____

THIRD REQUEST FOR
STATUS OF PENDING APPLICATION

Although this application was filed on August 6, 2001, the Patent Application Information Retrieval (PAIR) database indicates that as of November 2, 2005, a first Office Action has not been issued for this case. This is Applicants' third request for status of the pending application, the first request being filed with the U.S. Patent and Trademark Office on May 17, 2004 (copy enclosed) and the second request being filed with the U.S. Patent and Trademark Office on December 9, 2004 (copy enclosed).

The undersigned attorney of record respectfully requests the Examiner to advise when is it anticipated that a first Office Action will be issued.

Respectfully submitted,

By: _____

Thomas N. Tarnay
Registration No. 41,341
Attorney for Applicants

TNT/rb
SIDLEY AUSTIN BROWN & WOOD LLP
717 North Harwood, Suite 3400
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November 2, 2005



Attorney Docket No. 17066/00103

COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re
U.S. application: John NAFEH and Kenton K. YEE
For: METHODS AND APPARATUS FOR FORMULATION, INITIAL PUBLIC
OR PRIVATE OFFERING, AND SECONDARY MARKET TRADING OF
RISK MANAGEMENT CONTRACTS
U.S. Serial No.: 09/923,035
Filed: August 6, 2001
Confirmation No.: 8962
Group Art Unit: 3628
Examiner: Clement B. Graham

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:	
May 17, 2004	_____
Date of Deposit	_____
Thomas N. Tarnay	_____
Name of Applicant, Assignee, or Registered Representative	_____
Signature	_____
May 17, 2004	_____
Date of Signature	_____

REQUEST FOR STATUS OF PENDING APPLICATION

Although this application was filed on August 6, 2001, the Patent Application Information Retrieval (PAIR) database indicates that as of May 17, 2004, a first Office Action has not been issued for this case.

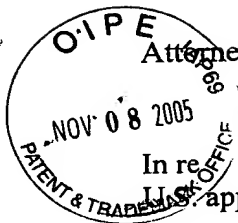
The undersigned attorney of record respectfully requests the Examiner to advise when is it anticipated that a first Office Action will be issued.

Respectfully submitted,

By: _____

Thomas N. Tarnay
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May 17, 2004



Attorney Docket No. 17066/00103

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

In re
U.S. application:

John NAFEH et al.

For:

METHODS AND APPARATUS FOR FORMULATION, INITIAL
PUBLIC OR PRIVATE OFFERING, AND SECONDARY
MARKET TRADING OF RISK MANAGEMENT CONTRACTS

U.S. Serial No.: 09/923,035

Filed: August 6, 2001

Confirmation No.: 8962

Group Art Unit: 3628

Examiner: Clement B. Graham

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being
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class mail in an envelope addressed to: Commissioner for
Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

December 9, 2004

Date of Deposit

Tung T. Nguyen

Name of Applicant, Assignee, or Registered Representative

Signature

December 9, 2004

Date of Signature

Dear Sir:


SECOND REQUEST FOR
STATUS OF PENDING APPLICATION

Although this application was filed on August 6, 2001, the Patent Application
Information Retrieval (PAIR) database indicates that as of December 9, 2004, a first Office
Action has not been issued for this case. This is Applicants' second request for status of the
pending application, the first request being filed with the U.S. Patent and Trademark Office on
May 17, 2004 (copy enclosed).

The undersigned attorney of record respectfully requests the Examiner to advise when is
it anticipated that a first Office Action will be issued.

Respectfully submitted,

By:


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December 9, 2004